

Charles Stanley Group PLC (the “Company”)

Annual General Meeting (“AGM”)

The 2020 AGM was held on 9 July 2020. In light of the UK government’s measures to limit the spread of COVID-19 and to protect the health of Company’s shareholders and employees, the Board decided, with regret, that shareholders could not attend the AGM in person.

The Board regards the AGM as an important opportunity to engage with shareholders and therefore encouraged them to submit questions before the AGM. Only two questions were submitted and both asked about whether alternatives to the closed AGM were considered. A summary of the responses provided to the shareholders, edited for length and clarity, can be found below.

2020 AGM arrangements

Providing a video link to the meeting was considered as an alternative to a closed meeting. The additional cost in providing a secure online broadcast, restricted to shareholders, was a factor. The Board also considered that there was greater benefit in holding a Shareholders Day later in the year, subject to government restrictions, which would allow for rather more talking with, and listening to, shareholders than is possible in the traditional AGM format.